



**Survey Results from the
Investor Protection Trust**

**Elder Investment Fraud and
Financial Exploitation:
Do Doctors Know The Symptoms?**

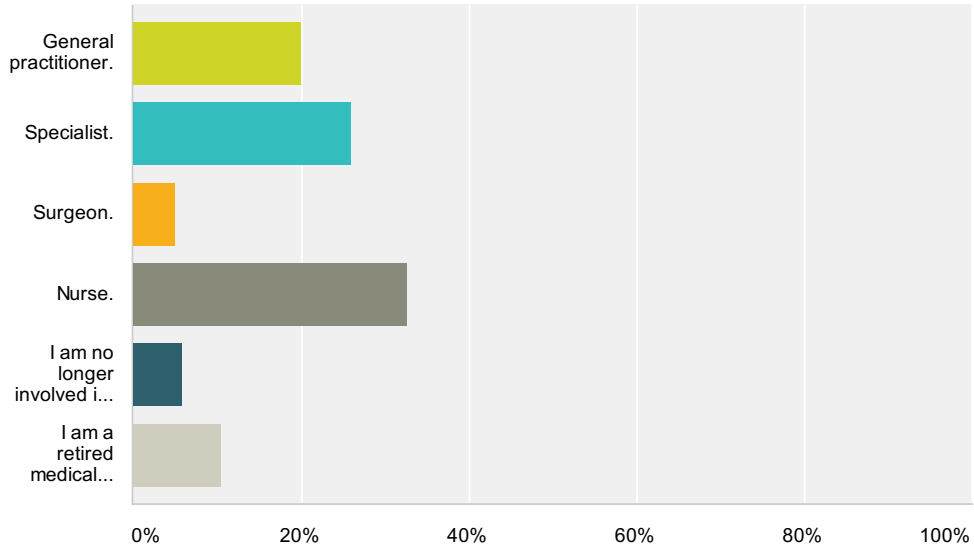
Released on June 12, 2013



www.investorprotection.org

Q1 What kind of medicine do you practice?

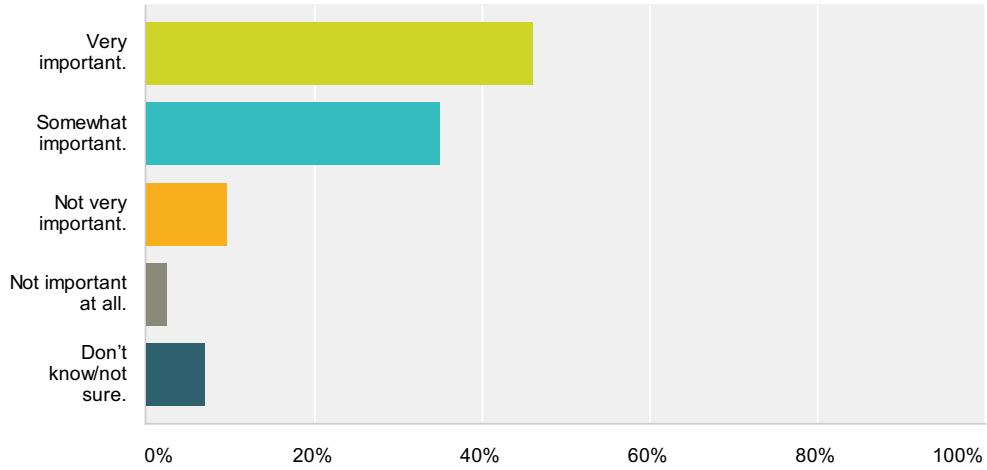
Answered: 600 Skipped: 3



Answer Choices	Responses
General practitioner.	20% 120
Specialist.	26% 156
Surgeon.	5% 30
Nurse.	32.67% 196
I am no longer involved in patient care.	5.83% 35
I am a retired medical professional.	10.50% 63
Total	600

Q2 How important a role do you think that medical professionals can play when they are trained to recognize and report the warning signs of elderly investment fraud/financial exploitation?

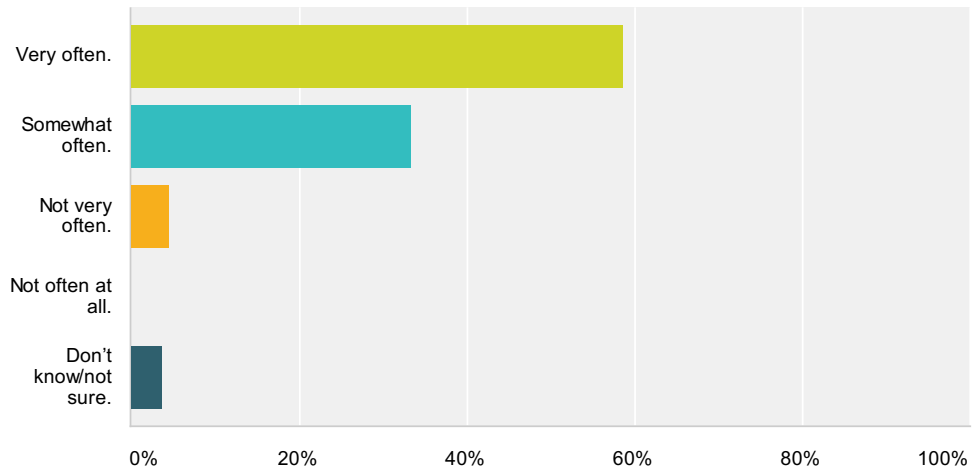
Answered: 586 Skipped: 17



Answer Choices	Responses	
Very important.	46.08%	270
Somewhat important.	34.98%	205
Not very important.	9.56%	56
Not important at all.	2.39%	14
Don't know/not sure.	7.00%	41
Total		586

Q3 How often do you think potential problems with cognitive impairment make seniors more vulnerable to investment fraud/financial exploitation?

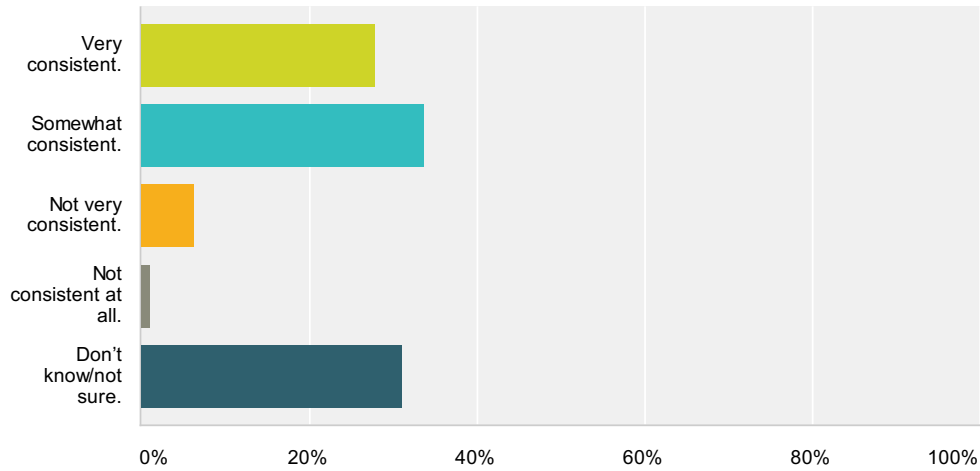
Answered: 582 Skipped: 21



Answer Choices	Responses
Very often.	58.59% 341
Somewhat often.	33.33% 194
Not very often.	4.47% 26
Not often at all.	0% 0
Don't know/not sure.	3.61% 21
Total	582

Q4 A 2008 study found that about 35 percent of the 25 million people over age 71 in the U.S. either have mild cognitive impairment or Alzheimer’s disease, making them especially vulnerable to financial exploitation, including investment fraud. Does this seem to be consistent with your experience in dealing with elderly patients who may have been victims of investment fraud?

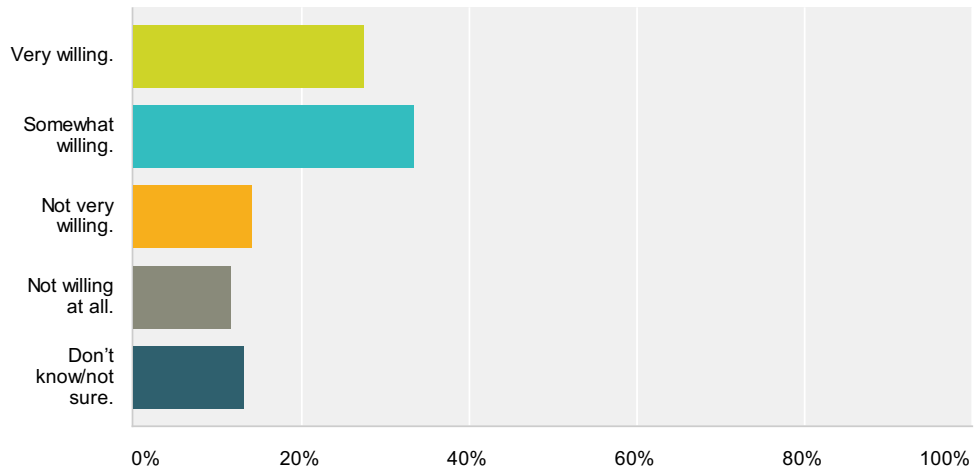
Answered: 578 Skipped: 25



Answer Choices	Responses	
Very consistent.	27.85%	161
Somewhat consistent.	33.74%	195
Not very consistent.	6.23%	36
Not consistent at all.	1.04%	6
Don't know/not sure.	31.14%	180
Total		578

Q5 How willing would you be to participate in a program for CME credit about the signs that an elderly patient you are treating may be the victim of investment fraud?

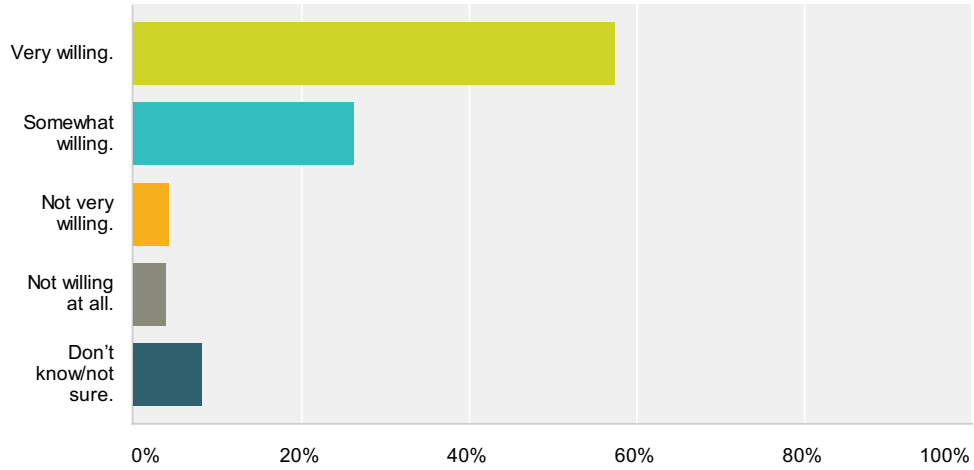
Answered: 574 Skipped: 29



Answer Choices	Responses	
Very willing.	27.53%	158
Somewhat willing.	33.45%	192
Not very willing.	14.11%	81
Not willing at all.	11.67%	67
Don't know/not sure.	13.24%	76
Total		574

Q6 How willing would you be to refer an elderly patient who may be the victim of investment fraud to those who may be able to help them with their financial affairs or to the proper authorities for help?

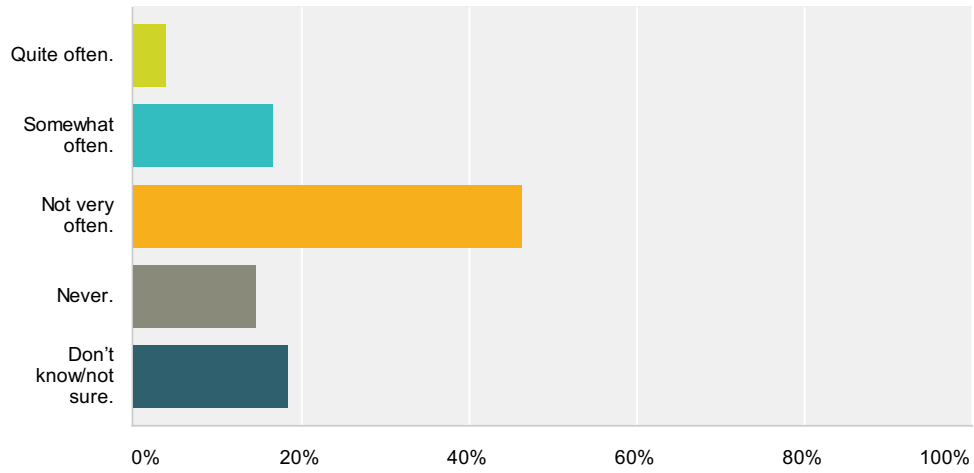
Answered: 573 Skipped: 30



Answer Choices	Responses	
Very willing.	57.42%	329
Somewhat willing.	26.35%	151
Not very willing.	4.19%	24
Not willing at all.	3.84%	22
Don't know/not sure.	8.20%	47
Total		573

Q7 How often are you aware that you may dealing with the elderly victims of investment fraud/financial exploitation?

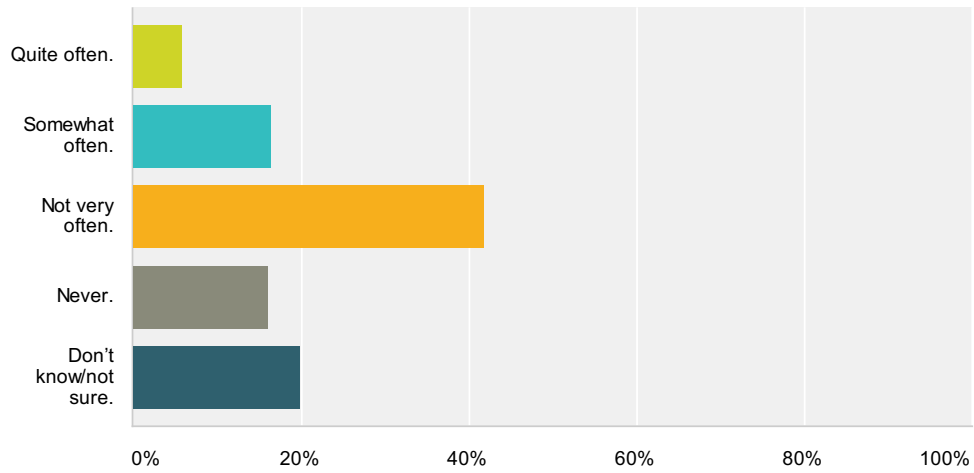
Answered: 569 Skipped: 34



Answer Choices	Responses	
Quite often.	3.87%	22
Somewhat often.	16.70%	95
Not very often.	46.40%	264
Never.	14.59%	83
Don't know/not sure.	18.45%	105
Total		569

Q8 How often do you deal with the children of elderly patients who may be vulnerable to or are victims of investment fraud/financial exploitation?

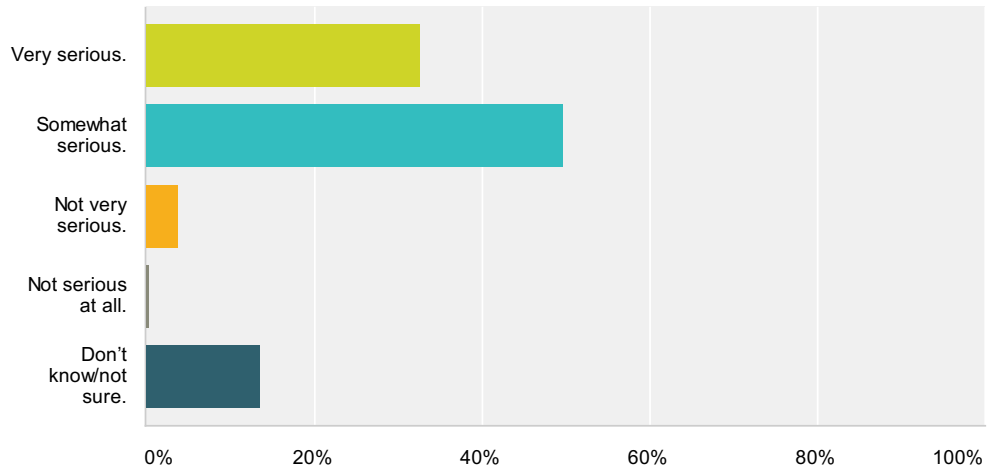
Answered: 565 Skipped: 38



Answer Choices	Responses
Quite often.	5.84% 33
Somewhat often.	16.46% 93
Not very often.	41.77% 236
Never.	16.11% 91
Don't know/not sure.	19.82% 112
Total	565

Q9 How serious would you say the problem of elderly investment fraud/financial exploitation is today?

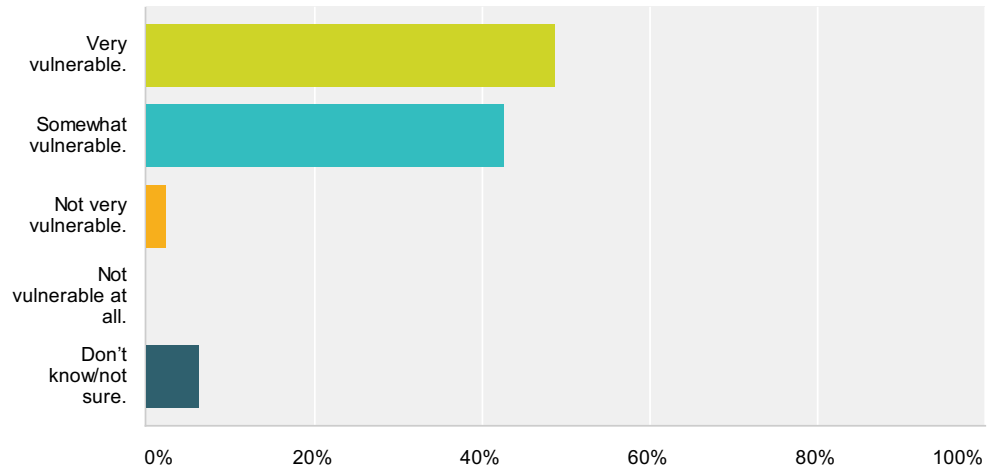
Answered: 561 Skipped: 42



Answer Choices	Responses	
Very serious.	32.62%	183
Somewhat serious.	49.73%	279
Not very serious.	3.74%	21
Not serious at all.	0.36%	2
Don't know/not sure.	13.55%	76
Total		561

Q10 How vulnerable would you say that older Americans are to investment fraud/financial exploitation today?

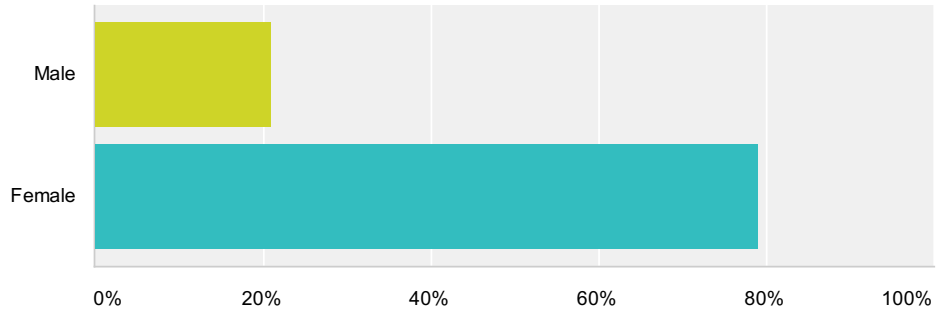
Answered: 560 Skipped: 43



Answer Choices	Responses	
Very vulnerable.	48.75%	273
Somewhat vulnerable.	42.68%	239
Not very vulnerable.	2.32%	13
Not vulnerable at all.	0%	0
Don't know/not sure.	6.25%	35
Total		560

Q11 Gender

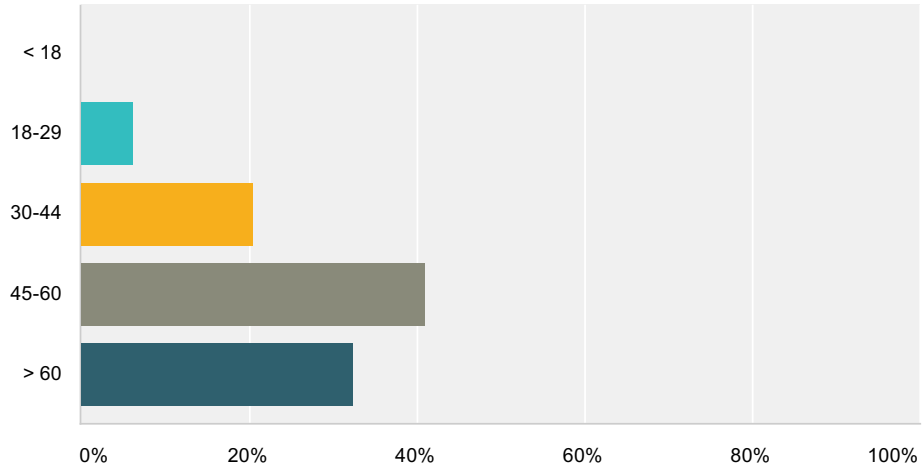
Answered: 405 Skipped: 198



Answer Choices	Responses	
Male	20.99%	85
Female	79.01%	320
Total		405

Q12 Age

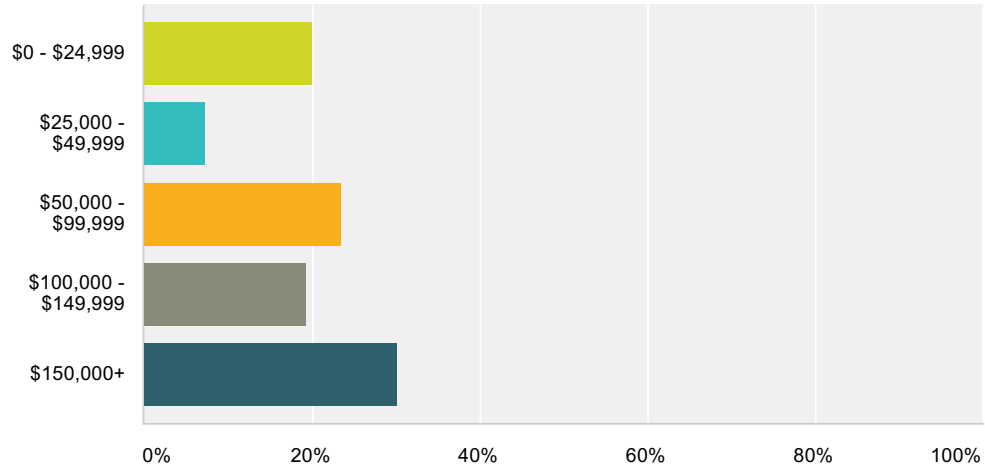
Answered: 405 Skipped: 198



Answer Choices	Responses	Count
< 18	0%	0
18-29	6.17%	25
30-44	20.49%	83
45-60	40.99%	166
> 60	32.35%	131
Total		405

Q13 Household Income

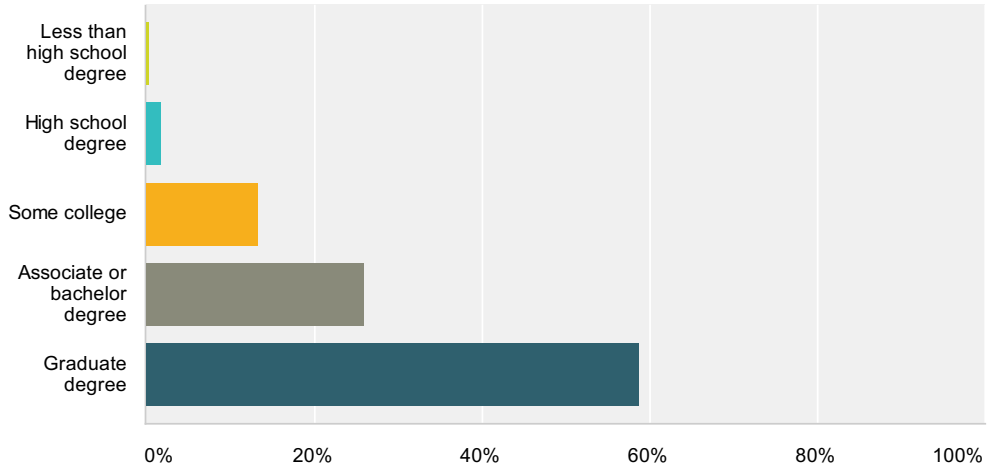
Answered: 405 Skipped: 198



Answer Choices	Responses	
\$0 - \$24,999	20%	81
\$25,000 - \$49,999	7.16%	29
\$50,000 - \$99,999	23.46%	95
\$100,000 - \$149,999	19.26%	78
\$150,000+	30.12%	122
Total		405

Q14 Education

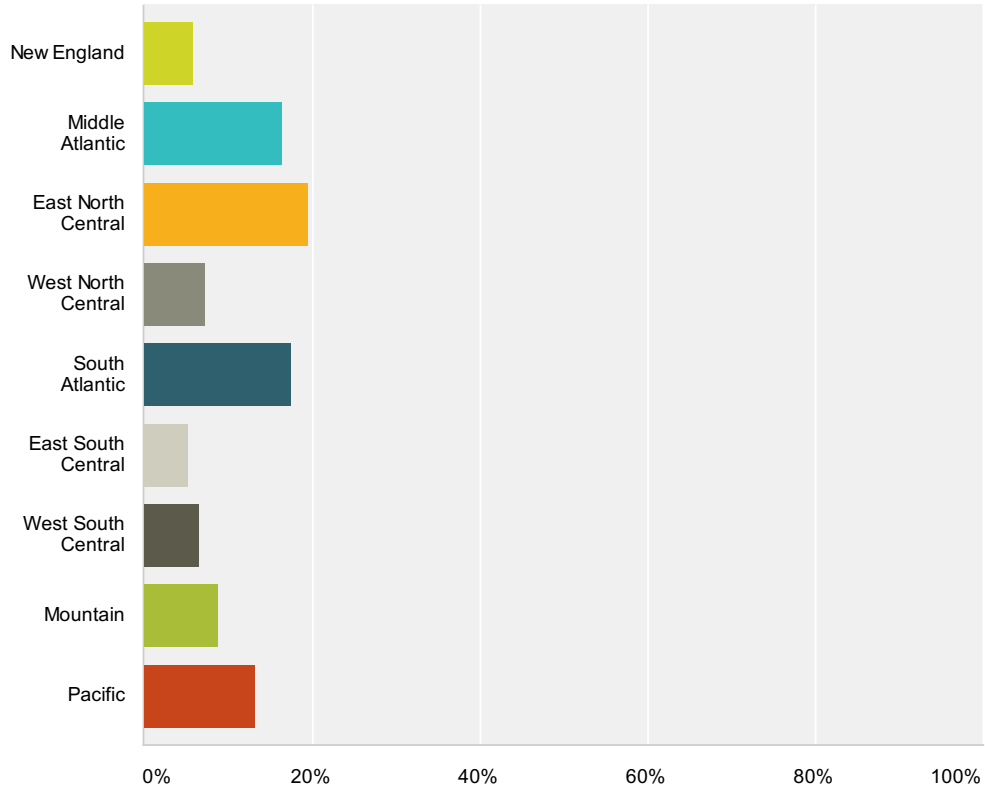
Answered: 405 Skipped: 198



Answer Choices	Responses
Less than high school degree	0.25% 1
High school degree	1.73% 7
Some college	13.33% 54
Associate or bachelor degree	25.93% 105
Graduate degree	58.77% 238
Total	405

Q15 Location (Census Region)

Answered: 401 Skipped: 202



Answer Choices	Responses	
New England	5.74%	23
Middle Atlantic	16.46%	66
East North Central	19.45%	78
West North Central	7.23%	29
South Atlantic	17.46%	70
East South Central	5.24%	21
West South Central	6.48%	26
Mountain	8.73%	35
Pacific	13.22%	53
Total		401