

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|---|-------------------|-----------------|---------------|-----------------|
| Michigan - IEF Undesig Restr | | | | 7,098.81 |
| 01/31/2016 | investment return | 180.94 | | 6,917.87 |
| 01/31/2016 | G & A | 81.23 | | 6,836.64 |
| 02/28/2016 | investment return | | 9.39 | 6,846.03 |
| 02/28/2016 | G & A | 65.18 | | 6,780.85 |
| 03/31/2016 | investment return | | 316.26 | 7,097.11 |
| 03/31/2016 | G & A | 71.07 | | 7,026.04 |
| 04/30/2016 | investment return | | 34.28 | 7,060.32 |
| 04/30/2016 | G & A | 93.31 | | 6,967.01 |
| 05/31/2016 | investment return | | 55.02 | 7,022.03 |
| 05/31/2016 | G & A | 78.31 | | 6,943.72 |
| 06/30/2016 | investment return | | 72.63 | 7,016.35 |
| 06/30/2016 | G & A | 70.59 | | 6,945.76 |
| 07/31/2016 | investment return | | 178.33 | 7,124.09 |
| 07/31/2016 | G & A | 71.97 | | 7,052.12 |
| 08/31/2016 | investment return | 9.36 | | 7,042.76 |
| 08/31/2016 | G & A | 67.68 | | 6,975.08 |
| 09/30/2016 | investment return | | 9.58 | 6,984.66 |
| 09/30/2016 | G & A | 75.28 | | 6,909.38 |
| 10/31/2016 | investment return | 139.24 | | 6,770.14 |
| 10/31/2016 | G & A | 77.95 | | 6,692.19 |
| 11/30/2016 | investment return | | 63.11 | 6,755.30 |
| 11/30/2016 | G & A | 71.27 | | 6,684.03 |
| 12/31/2016 | investment return | | 103.15 | 6,787.18 |
| 12/31/2016 | G & A | 145.86 | | 6,641.32 |
| Total Michigan - IEF Undesig Restr | | 1,299.24 | 841.75 | 6,641.32 |

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|--|--------------------------|-----------------|-----------------|------------------|
| Minnesota - IEF Undesig Restr | | | | 8,641.06 |
| 01/31/2016 | investment return | 220.26 | | 8,420.80 |
| 01/31/2016 | G & A | 98.88 | | 8,321.92 |
| 02/28/2016 | investment return | | 11.43 | 8,333.35 |
| 02/28/2016 | G & A | 79.35 | | 8,254.00 |
| 03/31/2016 | investment return | | 384.97 | 8,638.97 |
| 03/31/2016 | G & A | 86.52 | | 8,552.45 |
| 04/30/2016 | investment return | | 41.73 | 8,594.18 |
| 04/30/2016 | G & A | 113.58 | | 8,480.60 |
| 05/31/2016 | investment return | | 66.97 | 8,547.57 |
| 05/31/2016 | G & A | 95.32 | | 8,452.25 |
| 06/30/2016 | investment return | | 88.40 | 8,540.65 |
| 06/30/2016 | G & A | 85.93 | | 8,454.72 |
| 07/31/2016 | investment return | | 217.07 | 8,671.79 |
| 07/31/2016 | G & A | 87.61 | | 8,584.18 |
| 08/31/2016 | investment return | 11.39 | | 8,572.79 |
| 08/31/2016 | G & A | 82.38 | | 8,490.41 |
| 09/30/2016 | investment return | | 11.66 | 8,502.07 |
| 09/30/2016 | G & A | 91.63 | | 8,410.44 |
| 10/31/2016 | investment return | 169.49 | | 8,240.95 |
| 10/31/2016 | G & A | 94.89 | | 8,146.06 |
| 11/30/2016 | investment return | | 76.82 | 8,222.88 |
| 11/30/2016 | G & A | 86.75 | | 8,136.13 |
| 12/31/2016 | Release from designation | | 3,161.00 | 11,297.13 |
| 12/31/2016 | investment return | | 125.56 | 11,422.69 |
| 12/31/2016 | G & A | 177.55 | | 11,245.14 |
| Total Minnesota - IEF Undesig Restr | | 1,581.53 | 4,185.61 | 11,245.14 |

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| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|--|--------------------------|------------------|------------------|-------------------|
| Mississippi - IEF Undesig Restr | | | | 132,132.69 |
| 01/31/2016 | investment return | 3,367.98 | | 128,764.71 |
| 01/31/2016 | G & A | 1,512.04 | | 127,252.67 |
| 02/28/2016 | investment return | | 174.84 | 127,427.51 |
| 02/28/2016 | G & A | 1,213.30 | | 126,214.21 |
| 03/31/2016 | investment return | | 5,886.66 | 132,100.87 |
| 03/31/2016 | G & A | 1,322.94 | | 130,777.93 |
| 04/30/2016 | investment return | | 638.07 | 131,416.00 |
| 04/30/2016 | G & A | 1,736.77 | | 129,679.23 |
| 05/31/2016 | investment return | | 1,024.07 | 130,703.30 |
| 05/31/2016 | G & A | 1,457.59 | | 129,245.71 |
| 06/30/2016 | investment return | | 1,351.82 | 130,597.53 |
| 06/30/2016 | G & A | 1,313.91 | | 129,283.62 |
| 07/31/2016 | investment return | | 3,319.27 | 132,602.89 |
| 07/31/2016 | G & A | 1,339.67 | | 131,263.22 |
| 08/31/2016 | investment return | 174.20 | | 131,089.02 |
| 08/31/2016 | G & A | 1,259.68 | | 129,829.34 |
| 09/30/2016 | investment return | | 178.36 | 130,007.70 |
| 09/30/2016 | G & A | 1,401.13 | | 128,606.57 |
| 10/31/2016 | investment return | 2,591.70 | | 126,014.87 |
| 10/31/2016 | G & A | 1,450.99 | | 124,563.88 |
| 11/30/2016 | investment return | | 1,174.62 | 125,738.50 |
| 11/30/2016 | G & A | 1,326.59 | | 124,411.91 |
| 12/31/2016 | Release from designation | | 5,251.00 | 129,662.91 |
| 12/31/2016 | investment return | | 1,919.92 | 131,582.83 |
| 12/31/2016 | G & A | 2,714.91 | | 128,867.92 |
| Total Mississippi - IEF Undesig Restr | | 24,183.40 | 20,918.63 | 128,867.92 |

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| Date | Memo | Debit | Credit | Balance |
|---|--------------------------|------------------|------------------|-------------------|
| Missouri - IEF Undesig Restr | | | | 125,299.77 |
| 01/31/2016 | investment return | 3,193.81 | | 122,105.96 |
| 01/31/2016 | G & A | 1,433.85 | | 120,672.11 |
| 02/28/2016 | investment return | | 165.79 | 120,837.90 |
| 02/28/2016 | G & A | 1,150.56 | | 119,687.34 |
| 03/31/2016 | investment return | | 5,582.24 | 125,269.58 |
| 03/31/2016 | G & A | 1,254.52 | | 124,015.06 |
| 04/30/2016 | investment return | | 605.07 | 124,620.13 |
| 04/30/2016 | G & A | 1,646.96 | | 122,973.17 |
| 05/31/2016 | investment return | | 971.12 | 123,944.29 |
| 05/31/2016 | G & A | 1,382.22 | | 122,562.07 |
| 06/30/2016 | investment return | | 1,281.91 | 123,843.98 |
| 06/30/2016 | G & A | 1,245.96 | | 122,598.02 |
| 07/31/2016 | investment return | | 3,147.62 | 125,745.64 |
| 07/31/2016 | G & A | 1,270.39 | | 124,475.25 |
| 08/31/2016 | investment return | 165.20 | | 124,310.05 |
| 08/31/2016 | G & A | 1,194.54 | | 123,115.51 |
| 09/30/2016 | investment return | | 169.14 | 123,284.65 |
| 09/30/2016 | G & A | 1,328.68 | | 121,955.97 |
| 10/31/2016 | investment return | 2,457.68 | | 119,498.29 |
| 10/31/2016 | G & A | 1,375.95 | | 118,122.34 |
| 11/30/2016 | investment return | | 1,113.88 | 119,236.22 |
| 11/30/2016 | G & A | 1,257.99 | | 117,978.23 |
| 12/31/2016 | Release from designation | | 4,118.00 | 122,096.23 |
| 12/31/2016 | Program Expense DASH | 6,900.00 | | 115,196.23 |
| 12/31/2016 | investment return | | 1,820.63 | 117,016.86 |
| 12/31/2016 | G & A | 2,574.51 | | 114,442.35 |
| Total Missouri - IEF Undesig Restr | | 29,832.82 | 18,975.40 | 114,442.35 |

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| Date | Memo | Debit | Credit | Balance |
|--|--------------------------------------|------------------|------------------|------------------|
| Montana - IEF Undesig Restr | | | | 16,096.96 |
| 01/31/2016 | investment return | 410.30 | | 15,686.66 |
| 01/31/2016 | G & A | 184.20 | | 15,502.46 |
| 02/28/2016 | investment return | | 21.30 | 15,523.76 |
| 02/28/2016 | G & A | 147.81 | | 15,375.95 |
| 03/31/2016 | investment return | | 717.14 | 16,093.09 |
| 03/31/2016 | G & A | 161.17 | | 15,931.92 |
| 04/01/2016 | transfer of funds from IPT to IEF | | 49,600.00 | 65,531.92 |
| 04/13/2016 | Program Expense - Meal & Movie Grant | 49,600.00 | | 15,931.92 |
| 04/30/2016 | investment return | | 77.73 | 16,009.65 |
| 04/30/2016 | G & A | 211.58 | | 15,798.07 |
| 05/31/2016 | investment return | | 124.76 | 15,922.83 |
| 05/31/2016 | G & A | 177.57 | | 15,745.26 |
| 06/30/2016 | investment return | | 164.68 | 15,909.94 |
| 06/30/2016 | G & A | 160.07 | | 15,749.87 |
| 07/31/2016 | investment return | | 404.37 | 16,154.24 |
| 07/31/2016 | G & A | 163.20 | | 15,991.04 |
| 08/31/2016 | investment return | 21.22 | | 15,969.82 |
| 08/31/2016 | G & A | 153.46 | | 15,816.36 |
| 09/30/2016 | investment return | | 21.73 | 15,838.09 |
| 09/30/2016 | G & A | 170.69 | | 15,667.40 |
| 10/31/2016 | investment return | 315.73 | | 15,351.67 |
| 10/31/2016 | G & A | 176.77 | | 15,174.90 |
| 11/30/2016 | investment return | | 143.10 | 15,318.00 |
| 11/30/2016 | G & A | 161.61 | | 15,156.39 |
| 12/31/2016 | investment return | | 233.89 | 15,390.28 |
| 12/31/2016 | G & A | 330.74 | | 15,059.54 |
| Total Montana - IEF Undesig Restr | | 52,546.12 | 51,508.70 | 15,059.54 |

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| Date | Memo | Debit | Credit | Balance |
|---|-------------------------------------|------------------|------------------|-------------------|
| Nebraska - IEF Undesig Restr | | | | 123,679.02 |
| 01/31/2016 | investment return | 3,152.50 | | 120,526.52 |
| 01/31/2016 | G & A | 1,415.30 | | 119,111.22 |
| 02/25/2016 | Designation Forum Grant | 33,490.00 | | 85,621.22 |
| 02/25/2016 | Program Expense - Forum Grant | 17,750.00 | | 67,871.22 |
| 02/25/2016 | Reverse Designated Paid Forum Grant | | 17,750.00 | 85,621.22 |
| 02/28/2016 | investment return | | 163.65 | 85,784.87 |
| 02/28/2016 | G & A | 1,135.68 | | 84,649.19 |
| 03/31/2016 | investment return | | 3,947.34 | 88,596.53 |
| 03/31/2016 | G & A | 887.10 | | 87,709.43 |
| 04/26/2016 | Program Expense - Forum Grant | 8,544.00 | | 79,165.43 |
| 04/26/2016 | Reverse Designated Paid Forum | | 8,544.00 | 87,709.43 |
| 04/30/2016 | investment return | | 427.94 | 88,137.37 |
| 04/30/2016 | G & A | 1,164.81 | | 86,972.56 |
| 05/31/2016 | investment return | | 686.82 | 87,659.38 |
| 05/31/2016 | G & A | 977.57 | | 86,681.81 |
| 06/30/2016 | investment return | | 906.63 | 87,588.44 |
| 06/30/2016 | G & A | 881.20 | | 86,707.24 |
| 07/31/2016 | investment return | | 2,226.15 | 88,933.39 |
| 07/31/2016 | G & A | 898.48 | | 88,034.91 |
| 08/17/2016 | Program Expense IE Booklets | 8,863.64 | | 79,171.27 |
| 08/31/2016 | investment return | 116.83 | | 79,054.44 |
| 08/31/2016 | G & A | 844.84 | | 78,209.60 |
| 09/26/2016 | Program Expense - Forum Grant | 5,696.00 | | 72,513.60 |
| 09/26/2016 | Reverse Designated Paid Forum Grant | | 5,696.00 | 78,209.60 |
| 09/30/2016 | investment return | | 107.45 | 78,317.05 |
| 09/30/2016 | G & A | 844.05 | | 77,473.00 |
| 10/31/2016 | investment return | 1,561.25 | | 75,911.75 |
| 10/31/2016 | G & A | 874.08 | | 75,037.67 |
| 11/30/2016 | investment return | | 707.59 | 75,745.26 |
| 11/30/2016 | G & A | 799.14 | | 74,946.12 |
| 12/31/2016 | Release from designation | | 1,500.00 | 76,446.12 |
| 12/31/2016 | investment return | | 1,156.56 | 77,602.68 |
| 12/31/2016 | G & A | 1,635.47 | | 75,967.21 |
| Total Nebraska - IEF Undesig Restr | | 91,531.94 | 43,820.13 | 75,967.21 |

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| Date | Memo | Debit | Credit | Balance |
|---|-------------------|------------------|------------------|-------------------|
| Nevada - IEF Undesig Restr | | | | 141,896.61 |
| 01/31/2016 | investment return | 3,616.86 | | 138,279.75 |
| 01/31/2016 | G & A | 1,623.77 | | 136,655.98 |
| 02/28/2016 | investment return | | 187.75 | 136,843.73 |
| 02/28/2016 | G & A | 1,302.96 | | 135,540.77 |
| 03/31/2016 | investment return | | 6,321.65 | 141,862.42 |
| 03/31/2016 | G & A | 1,420.69 | | 140,441.73 |
| 04/30/2016 | investment return | | 685.22 | 141,126.95 |
| 04/30/2016 | G & A | 1,865.11 | | 139,261.84 |
| 05/31/2016 | investment return | | 1,099.75 | 140,361.59 |
| 05/31/2016 | G & A | 1,565.30 | | 138,796.29 |
| 06/30/2016 | investment return | | 1,451.71 | 140,248.00 |
| 06/30/2016 | G & A | 1,411.00 | | 138,837.00 |
| 07/31/2016 | investment return | | 3,564.55 | 142,401.55 |
| 07/31/2016 | G & A | 1,438.67 | | 140,962.88 |
| 08/31/2016 | investment return | 187.08 | | 140,775.80 |
| 08/31/2016 | G & A | 1,352.76 | | 139,423.04 |
| 09/30/2016 | investment return | | 191.54 | 139,614.58 |
| 09/30/2016 | G & A | 1,504.67 | | 138,109.91 |
| 10/31/2016 | investment return | 2,783.21 | | 135,326.70 |
| 10/31/2016 | G & A | 1,558.21 | | 133,768.49 |
| 11/30/2016 | investment return | | 1,261.42 | 135,029.91 |
| 11/30/2016 | G & A | 1,424.62 | | 133,605.29 |
| 12/31/2016 | investment return | | 2,061.79 | 135,667.08 |
| 12/31/2016 | G & A | 2,915.53 | | 132,751.55 |
| Total Nevada - IEF Undesig Restr | | 25,970.44 | 16,825.38 | 132,751.55 |

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|--|-------------------|---------------|---------------|-----------------|
| New Hampshire - IEF Undesig Res | | | | 5,311.85 |
| 01/31/2016 | investment return | 135.40 | | 5,176.45 |
| 01/31/2016 | G & A | 60.79 | | 5,115.66 |
| 02/28/2016 | investment return | | 7.03 | 5,122.69 |
| 02/28/2016 | G & A | 48.78 | | 5,073.91 |
| 03/31/2016 | investment return | | 236.65 | 5,310.56 |
| 03/31/2016 | G & A | 53.18 | | 5,257.38 |
| 04/30/2016 | investment return | | 25.65 | 5,283.03 |
| 04/30/2016 | G & A | 69.82 | | 5,213.21 |
| 05/31/2016 | investment return | | 41.17 | 5,254.38 |
| 05/31/2016 | G & A | 58.60 | | 5,195.78 |
| 06/30/2016 | investment return | | 54.34 | 5,250.12 |
| 06/30/2016 | G & A | 52.82 | | 5,197.30 |
| 07/31/2016 | investment return | | 133.44 | 5,330.74 |
| 07/31/2016 | G & A | 53.86 | | 5,276.88 |
| 08/31/2016 | investment return | 7.00 | | 5,269.88 |
| 08/31/2016 | G & A | 50.64 | | 5,219.24 |
| 09/30/2016 | investment return | | 7.17 | 5,226.41 |
| 09/30/2016 | G & A | 56.33 | | 5,170.08 |
| 10/31/2016 | investment return | 104.19 | | 5,065.89 |
| 10/31/2016 | G & A | 58.33 | | 5,007.56 |
| 11/30/2016 | investment return | | 47.22 | 5,054.78 |
| 11/30/2016 | G & A | 53.33 | | 5,001.45 |
| 12/31/2016 | investment return | | 77.18 | 5,078.63 |
| 12/31/2016 | G & A | 109.14 | | 4,969.49 |
| Total New Hampshire - IEF Undesig Res | | 972.21 | 629.85 | 4,969.49 |

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|---|-------------------|------------------|------------------|-------------------|
| New Jersey - IEF Undesig Restr | | | | 101,777.79 |
| 01/31/2016 | investment return | 2,594.25 | | 99,183.54 |
| 01/31/2016 | G & A | 1,164.68 | | 98,018.86 |
| 02/28/2016 | investment return | | 134.67 | 98,153.53 |
| 02/28/2016 | G & A | 934.57 | | 97,218.96 |
| 03/31/2016 | investment return | | 4,534.31 | 101,753.27 |
| 03/31/2016 | G & A | 1,019.02 | | 100,734.25 |
| 04/30/2016 | investment return | | 491.48 | 101,225.73 |
| 04/30/2016 | G & A | 1,337.78 | | 99,887.95 |
| 05/31/2016 | investment return | | 788.81 | 100,676.76 |
| 05/31/2016 | G & A | 1,122.74 | | 99,554.02 |
| 06/30/2016 | investment return | | 1,041.26 | 100,595.28 |
| 06/30/2016 | G & A | 1,012.06 | | 99,583.22 |
| 07/31/2016 | investment return | | 2,556.73 | 102,139.95 |
| 07/31/2016 | G & A | 1,031.91 | | 101,108.04 |
| 08/31/2016 | investment return | 134.18 | | 100,973.86 |
| 08/31/2016 | G & A | 970.29 | | 100,003.57 |
| 09/30/2016 | investment return | | 137.39 | 100,140.96 |
| 09/30/2016 | G & A | 1,079.25 | | 99,061.71 |
| 10/31/2016 | investment return | 1,996.31 | | 97,065.40 |
| 10/31/2016 | G & A | 1,117.65 | | 95,947.75 |
| 11/30/2016 | investment return | | 904.77 | 96,852.52 |
| 11/30/2016 | G & A | 1,021.83 | | 95,830.69 |
| 12/31/2016 | investment return | | 1,478.85 | 97,309.54 |
| 12/31/2016 | G & A | 2,091.21 | | 95,218.33 |
| Total New Jersey - IEF Undesig Restr | | 18,627.73 | 12,068.27 | 95,218.33 |

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|---|-------------------|-----------------|---------------|-----------------|
| New Mexico - IEF Undesig Restr | | | | 7,538.29 |
| 01/31/2016 | investment return | 192.15 | | 7,346.14 |
| 01/31/2016 | G & A | 86.26 | | 7,259.88 |
| 02/28/2016 | investment return | | 9.97 | 7,269.85 |
| 02/28/2016 | G & A | 69.22 | | 7,200.63 |
| 03/31/2016 | investment return | | 335.84 | 7,536.47 |
| 03/31/2016 | G & A | 75.47 | | 7,461.00 |
| 04/30/2016 | investment return | | 36.40 | 7,497.40 |
| 04/30/2016 | G & A | 99.08 | | 7,398.32 |
| 05/31/2016 | investment return | | 58.42 | 7,456.74 |
| 05/31/2016 | G & A | 83.16 | | 7,373.58 |
| 06/30/2016 | investment return | | 77.12 | 7,450.70 |
| 06/30/2016 | G & A | 74.96 | | 7,375.74 |
| 07/31/2016 | investment return | | 189.37 | 7,565.11 |
| 07/31/2016 | G & A | 76.43 | | 7,488.68 |
| 08/31/2016 | investment return | 9.94 | | 7,478.74 |
| 08/31/2016 | G & A | 71.87 | | 7,406.87 |
| 09/30/2016 | investment return | | 10.18 | 7,417.05 |
| 09/30/2016 | G & A | 79.94 | | 7,337.11 |
| 10/31/2016 | investment return | 147.86 | | 7,189.25 |
| 10/31/2016 | G & A | 82.78 | | 7,106.47 |
| 11/30/2016 | investment return | | 67.01 | 7,173.48 |
| 11/30/2016 | G & A | 75.68 | | 7,097.80 |
| 12/31/2016 | investment return | | 109.53 | 7,207.33 |
| 12/31/2016 | G & A | 154.89 | | 7,052.44 |
| Total New Mexico - IEF Undesig Restr | | 1,379.69 | 893.84 | 7,052.44 |

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|---|-------------------|---------------|---------------|-----------------|
| New York - IEF Undesig Restr | | | | 2,666.28 |
| 01/31/2016 | investment return | 67.96 | | 2,598.32 |
| 01/31/2016 | G & A | 30.51 | | 2,567.81 |
| 02/28/2016 | investment return | | 3.53 | 2,571.34 |
| 02/28/2016 | G & A | 24.48 | | 2,546.86 |
| 03/31/2016 | investment return | | 118.79 | 2,665.65 |
| 03/31/2016 | G & A | 26.70 | | 2,638.95 |
| 04/30/2016 | investment return | | 12.88 | 2,651.83 |
| 04/30/2016 | G & A | 35.05 | | 2,616.78 |
| 05/31/2016 | investment return | | 20.66 | 2,637.44 |
| 05/31/2016 | G & A | 29.41 | | 2,608.03 |
| 06/30/2016 | investment return | | 27.28 | 2,635.31 |
| 06/30/2016 | G & A | 26.51 | | 2,608.80 |
| 07/31/2016 | investment return | | 66.98 | 2,675.78 |
| 07/31/2016 | G & A | 27.03 | | 2,648.75 |
| 08/31/2016 | investment return | 3.52 | | 2,645.23 |
| 08/31/2016 | G & A | 25.42 | | 2,619.81 |
| 09/30/2016 | investment return | | 3.60 | 2,623.41 |
| 09/30/2016 | G & A | 28.27 | | 2,595.14 |
| 10/31/2016 | investment return | 52.30 | | 2,542.84 |
| 10/31/2016 | G & A | 29.28 | | 2,513.56 |
| 11/30/2016 | investment return | | 23.70 | 2,537.26 |
| 11/30/2016 | G & A | 26.77 | | 2,510.49 |
| 12/31/2016 | investment return | | 38.74 | 2,549.23 |
| 12/31/2016 | G & A | 54.78 | | 2,494.45 |
| Total New York - IEF Undesig Restr | | 487.99 | 316.16 | 2,494.45 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|--|---------------------------------|-----------------|-----------------|-----------------|
| North Carolina - IEF Undesig Re | | | | 9,561.80 |
| 01/31/2016 | investment return | 243.72 | | 9,318.08 |
| 01/31/2016 | G & A | 109.42 | | 9,208.66 |
| 02/28/2016 | investment return | | 12.65 | 9,221.31 |
| 02/28/2016 | G & A | 87.80 | | 9,133.51 |
| 03/31/2016 | investment return | | 425.99 | 9,559.50 |
| 03/31/2016 | G & A | 95.73 | | 9,463.77 |
| 04/30/2016 | investment return | | 46.17 | 9,509.94 |
| 04/30/2016 | G & A | 125.68 | | 9,384.26 |
| 05/31/2016 | investment return | | 74.11 | 9,458.37 |
| 05/31/2016 | G & A | 105.48 | | 9,352.89 |
| 06/30/2016 | investment return | | 97.82 | 9,450.71 |
| 06/30/2016 | G & A | 95.08 | | 9,355.63 |
| 07/31/2016 | investment return | | 240.20 | 9,595.83 |
| 07/31/2016 | G & A | 96.95 | | 9,498.88 |
| 08/31/2016 | investment return | 12.61 | | 9,486.27 |
| 08/31/2016 | G & A | 91.16 | | 9,395.11 |
| 09/30/2016 | investment return | | 12.91 | 9,408.02 |
| 09/30/2016 | G & A | 101.39 | | 9,306.63 |
| 10/31/2016 | investment return | 187.55 | | 9,119.08 |
| 10/31/2016 | G & A | 105.00 | | 9,014.08 |
| 11/30/2016 | investment return | | 85.00 | 9,099.08 |
| 11/30/2016 | G & A | 96.00 | | 9,003.08 |
| 12/31/2016 | Program Expense IEiYW | 7,320.00 | | 1,683.08 |
| 12/31/2016 | EIFFE Prevention Program Summit | 366.70 | | 1,316.38 |
| 12/31/2016 | Release from designation | | 3,000.00 | 4,316.38 |
| 12/31/2016 | investment return | | 138.93 | 4,455.31 |
| 12/31/2016 | G & A | 196.46 | | 4,258.85 |
| Total North Carolina - IEF Undesig Re | | 9,436.73 | 4,133.78 | 4,258.85 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|---------------------------|--------------------------|-----------------|-----------------|------------------|
| North Dakota | IEF Undesig Restr | | | 14,153.18 |
| 01/31/2016 | investment return | 360.76 | | 13,792.42 |
| 01/31/2016 | G & A | 161.96 | | 13,630.46 |
| 02/28/2016 | investment return | | 18.73 | 13,649.19 |
| 02/28/2016 | G & A | 129.95 | | 13,519.24 |
| 03/31/2016 | investment return | | 630.54 | 14,149.78 |
| 03/31/2016 | G & A | 141.70 | | 14,008.08 |
| 04/30/2016 | investment return | | 68.35 | 14,076.43 |
| 04/30/2016 | G & A | 186.03 | | 13,890.40 |
| 05/31/2016 | investment return | | 109.69 | 14,000.09 |
| 05/31/2016 | G & A | 156.13 | | 13,843.96 |
| 06/30/2016 | investment return | | 144.80 | 13,988.76 |
| 06/30/2016 | G & A | 140.74 | | 13,848.02 |
| 07/31/2016 | investment return | | 355.54 | 14,203.56 |
| 07/31/2016 | G & A | 143.50 | | 14,060.06 |
| 08/31/2016 | investment return | 18.66 | | 14,041.40 |
| 08/31/2016 | G & A | 134.92 | | 13,906.48 |
| 09/30/2016 | investment return | | 19.11 | 13,925.59 |
| 09/30/2016 | G & A | 150.08 | | 13,775.51 |
| 10/31/2016 | investment return | 277.61 | | 13,497.90 |
| 10/31/2016 | G & A | 155.42 | | 13,342.48 |
| 11/30/2016 | investment return | | 125.82 | 13,468.30 |
| 11/30/2016 | G & A | 142.10 | | 13,326.20 |
| 12/31/2016 | investment return | | 205.65 | 13,531.85 |
| 12/31/2016 | G & A | 290.80 | | 13,241.05 |
| Total North Dakota | IEF Undesig Restr | 2,590.36 | 1,678.23 | 13,241.05 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|---------------------------------------|-------------------------------------|-------------------|-------------------|-------------------|
| Ohio - IEF Undesig Restr | | | | 620,025.27 |
| 01/31/2016 | investment return | 15,804.06 | | 604,221.21 |
| 01/31/2016 | G & A | 7,095.17 | | 597,126.04 |
| 02/28/2016 | investment return | | 820.40 | 597,946.44 |
| 02/28/2016 | G & A | 5,693.34 | | 592,253.10 |
| 03/31/2016 | investment return | | 27,622.81 | 619,875.91 |
| 03/31/2016 | G & A | 6,207.80 | | 613,668.11 |
| 04/30/2016 | investment return | | 2,994.10 | 616,662.21 |
| 04/30/2016 | G & A | 8,149.70 | | 608,512.51 |
| 05/31/2016 | investment return | | 4,805.41 | 613,317.92 |
| 05/31/2016 | G & A | 6,839.67 | | 606,478.25 |
| 06/30/2016 | investment return | | 6,343.32 | 612,821.57 |
| 06/30/2016 | G & A | 6,165.43 | | 606,656.14 |
| 07/31/2016 | investment return | | 15,575.48 | 622,231.62 |
| 07/31/2016 | G & A | 6,286.33 | | 615,945.29 |
| 08/01/2016 | Designation AFCPE Grant | 297,000.00 | | 318,945.29 |
| 08/02/2016 | Program Expense - AFCPE Grant | 175,000.00 | | 143,945.29 |
| 08/02/2016 | Reverse Designated Paid AFCPE Grant | | 175,000.00 | 318,945.29 |
| 08/31/2016 | investment return | 817.44 | | 318,127.85 |
| 08/31/2016 | G & A | 5,910.97 | | 312,216.88 |
| 09/30/2016 | investment return | | 836.96 | 313,053.84 |
| 09/30/2016 | G & A | 6,574.74 | | 306,479.10 |
| 10/31/2016 | investment return | 6,176.20 | | 300,302.90 |
| 10/31/2016 | G & A | 3,457.81 | | 296,845.09 |
| 11/30/2016 | investment return | | 2,799.20 | 299,644.29 |
| 11/30/2016 | G & A | 3,161.36 | | 296,482.93 |
| 12/31/2016 | investment return | | 4,575.30 | 301,058.23 |
| 12/31/2016 | G & A | 6,469.83 | | 294,588.40 |
| Total Ohio - IEF Undesig Restr | | 566,809.85 | 241,372.98 | 294,588.40 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| Date | Memo | Debit | Credit | Balance |
|---|--|-----------------|-----------------|------------------|
| Oklahoma - IEF Undesig Restr | | | | 18,756.80 |
| 01/31/2016 | investment return | 478.10 | | 18,278.70 |
| 01/31/2016 | G & A | 214.64 | | 18,064.06 |
| 02/28/2016 | investment return | | 24.82 | 18,088.88 |
| 02/28/2016 | G & A | 172.23 | | 17,916.65 |
| 03/31/2016 | investment return | | 835.64 | 18,752.29 |
| 03/31/2016 | G & A | 187.80 | | 18,564.49 |
| 04/13/2016 | Program Expense Reimbursement | 1,010.53 | | 17,553.96 |
| 04/30/2016 | investment return | | 90.58 | 17,644.54 |
| 04/30/2016 | G & A | 246.54 | | 17,398.00 |
| 05/31/2016 | investment return | | 137.39 | 17,535.39 |
| 05/31/2016 | G & A | 195.55 | | 17,339.84 |
| 06/30/2016 | investment return | | 181.36 | 17,521.20 |
| 06/30/2016 | G & A | 176.28 | | 17,344.92 |
| 07/12/2016 | Program Expense EIFFE PP Reimbursement | 577.80 | | 16,767.12 |
| 07/12/2016 | Program Expense EIFFE PP Reimbursement | 638.28 | | 16,128.84 |
| 07/31/2016 | investment return | | 445.32 | 16,574.16 |
| 07/31/2016 | G & A | 179.73 | | 16,394.43 |
| 08/31/2016 | investment return | 21.76 | | 16,372.67 |
| 08/31/2016 | G & A | 157.32 | | 16,215.35 |
| 09/30/2016 | investment return | | 22.28 | 16,237.63 |
| 09/30/2016 | G & A | 175.00 | | 16,062.63 |
| 10/31/2016 | investment return | 323.70 | | 15,738.93 |
| 10/31/2016 | G & A | 181.22 | | 15,557.71 |
| 11/14/2016 | Program Expense EIFFE PP Summit Reimburs | 682.79 | | 14,874.92 |
| 11/30/2016 | investment return | | 146.71 | 15,021.63 |
| 11/30/2016 | G & A | 165.69 | | 14,855.94 |
| 12/09/2016 | Program Expense EIFFE PP Reimbursement | 1,649.51 | | 13,206.43 |
| 12/31/2016 | EIFFE Prevention Program Summit | 550.05 | | 12,656.38 |
| 12/31/2016 | investment return | | 229.26 | 12,885.64 |
| 12/31/2016 | G & A | 324.19 | | 12,561.45 |
| Total Oklahoma - IEF Undesig Restr | | 8,308.71 | 2,113.36 | 12,561.45 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|--|-------------------|---------------|---------------|-----------------|
| Oregon - IEF Undeig Restr | | | | 3,613.44 |
| 01/31/2016 | investment return | 92.10 | | 3,521.34 |
| 01/31/2016 | G & A | 41.35 | | 3,479.99 |
| 02/28/2016 | investment return | | 4.78 | 3,484.77 |
| 02/28/2016 | G & A | 33.18 | | 3,451.59 |
| 03/31/2016 | investment return | | 160.98 | 3,612.57 |
| 03/31/2016 | G & A | 36.18 | | 3,576.39 |
| 04/30/2016 | investment return | | 17.45 | 3,593.84 |
| 04/30/2016 | G & A | 47.50 | | 3,546.34 |
| 05/31/2016 | investment return | | 28.01 | 3,574.35 |
| 05/31/2016 | G & A | 39.86 | | 3,534.49 |
| 06/30/2016 | investment return | | 36.97 | 3,571.46 |
| 06/30/2016 | G & A | 35.93 | | 3,535.53 |
| 07/31/2016 | investment return | | 90.77 | 3,626.30 |
| 07/31/2016 | G & A | 36.64 | | 3,589.66 |
| 08/31/2016 | investment return | 4.76 | | 3,584.90 |
| 08/31/2016 | G & A | 34.45 | | 3,550.45 |
| 09/30/2016 | investment return | | 4.88 | 3,555.33 |
| 09/30/2016 | G & A | 38.32 | | 3,517.01 |
| 10/31/2016 | investment return | 70.88 | | 3,446.13 |
| 10/31/2016 | G & A | 39.68 | | 3,406.45 |
| 11/30/2016 | investment return | | 32.12 | 3,438.57 |
| 11/30/2016 | G & A | 36.28 | | 3,402.29 |
| 12/31/2016 | investment return | | 52.50 | 3,454.79 |
| 12/31/2016 | G & A | 74.24 | | 3,380.55 |
| Total Oregon - IEF Undeig Restr | | 661.35 | 428.46 | 3,380.55 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|--|-----------------------------|------------------|------------------|------------------|
| Pennsylvania - IEF Undesig Rest | | | | 78,917.21 |
| 01/31/2016 | investment return | 2,011.55 | | 76,905.66 |
| 01/31/2016 | G & A | 903.08 | | 76,002.58 |
| 02/28/2016 | investment return | | 104.42 | 76,107.00 |
| 02/28/2016 | G & A | 724.65 | | 75,382.35 |
| 03/31/2016 | investment return | | 3,515.85 | 78,898.20 |
| 03/31/2016 | G & A | 790.13 | | 78,108.07 |
| 04/30/2016 | investment return | | 381.09 | 78,489.16 |
| 04/30/2016 | G & A | 1,037.30 | | 77,451.86 |
| 05/31/2016 | investment return | | 611.64 | 78,063.50 |
| 05/31/2016 | G & A | 870.56 | | 77,192.94 |
| 06/30/2016 | investment return | | 807.38 | 78,000.32 |
| 06/30/2016 | G & A | 784.74 | | 77,215.58 |
| 07/31/2016 | investment return | | 1,982.46 | 79,198.04 |
| 07/31/2016 | G & A | 800.13 | | 78,397.91 |
| 08/31/2016 | investment return | 104.04 | | 78,293.87 |
| 08/31/2016 | G & A | 752.35 | | 77,541.52 |
| 09/30/2016 | investment return | | 106.53 | 77,648.05 |
| 09/30/2016 | G & A | 836.84 | | 76,811.21 |
| 10/31/2016 | investment return | 1,547.91 | | 75,263.30 |
| 10/31/2016 | G & A | 866.61 | | 74,396.69 |
| 11/30/2016 | investment return | | 701.55 | 75,098.24 |
| 11/30/2016 | G & A | 792.32 | | 74,305.92 |
| 12/31/2016 | IEiYW Program Reimbursement | | 920.00 | 75,225.92 |
| 12/31/2016 | investment return | | 1,146.68 | 76,372.60 |
| 12/31/2016 | G & A | 1,621.50 | | 74,751.10 |
| Total Pennsylvania - IEF Undesig Rest | | 14,443.71 | 10,277.60 | 74,751.10 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|---|-------------------|------------------|------------------|-------------------|
| Puerto Rico - IEF Undesg Restr | | | | 121,334.55 |
| 01/31/2016 | investment return | 3,092.74 | | 118,241.81 |
| 01/31/2016 | G & A | 1,388.47 | | 116,853.34 |
| 02/28/2016 | investment return | | 160.55 | 117,013.89 |
| 02/28/2016 | G & A | 1,114.15 | | 115,899.74 |
| 03/31/2016 | investment return | | 5,405.59 | 121,305.33 |
| 03/31/2016 | G & A | 1,214.82 | | 120,090.51 |
| 04/30/2016 | investment return | | 585.92 | 120,676.43 |
| 04/30/2016 | G & A | 1,594.84 | | 119,081.59 |
| 05/31/2016 | investment return | | 940.38 | 120,021.97 |
| 05/31/2016 | G & A | 1,338.48 | | 118,683.49 |
| 06/30/2016 | investment return | | 1,241.34 | 119,924.83 |
| 06/30/2016 | G & A | 1,206.53 | | 118,718.30 |
| 07/31/2016 | investment return | | 3,048.01 | 121,766.31 |
| 07/31/2016 | G & A | 1,230.19 | | 120,536.12 |
| 08/31/2016 | investment return | 159.97 | | 120,376.15 |
| 08/31/2016 | G & A | 1,156.74 | | 119,219.41 |
| 09/30/2016 | investment return | | 163.79 | 119,383.20 |
| 09/30/2016 | G & A | 1,286.63 | | 118,096.57 |
| 10/31/2016 | investment return | 2,379.90 | | 115,716.67 |
| 10/31/2016 | G & A | 1,332.41 | | 114,384.26 |
| 11/30/2016 | investment return | | 1,078.63 | 115,462.89 |
| 11/30/2016 | G & A | 1,218.18 | | 114,244.71 |
| 12/31/2016 | investment return | | 1,763.02 | 116,007.73 |
| 12/31/2016 | G & A | 2,493.04 | | 113,514.69 |
| Total Puerto Rico - IEF Undesg Restr | | 22,207.09 | 14,387.23 | 113,514.69 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|--|-------------------|------------------|------------------|-------------------|
| Rhode Island - IEF Undesig Rest | | | | 198,855.52 |
| 01/31/2016 | investment return | 5,068.70 | | 193,786.82 |
| 01/31/2016 | G & A | 2,275.57 | | 191,511.25 |
| 02/28/2016 | investment return | | 263.12 | 191,774.37 |
| 02/28/2016 | G & A | 1,825.98 | | 189,948.39 |
| 03/31/2016 | investment return | | 8,859.23 | 198,807.62 |
| 03/31/2016 | G & A | 1,990.98 | | 196,816.64 |
| 04/30/2016 | investment return | | 960.27 | 197,776.91 |
| 04/30/2016 | G & A | 2,613.78 | | 195,163.13 |
| 05/31/2016 | investment return | | 1,541.20 | 196,704.33 |
| 05/31/2016 | G & A | 2,193.63 | | 194,510.70 |
| 06/30/2016 | investment return | | 2,034.44 | 196,545.14 |
| 06/30/2016 | G & A | 1,977.39 | | 194,567.75 |
| 07/31/2016 | investment return | | 4,995.38 | 199,563.13 |
| 07/31/2016 | G & A | 2,016.16 | | 197,546.97 |
| 08/31/2016 | investment return | 262.17 | | 197,284.80 |
| 08/31/2016 | G & A | 1,895.78 | | 195,389.02 |
| 09/30/2016 | investment return | | 268.43 | 195,657.45 |
| 09/30/2016 | G & A | 2,108.66 | | 193,548.79 |
| 10/31/2016 | investment return | 3,900.42 | | 189,648.37 |
| 10/31/2016 | G & A | 2,183.69 | | 187,464.68 |
| 11/30/2016 | investment return | | 1,767.76 | 189,232.44 |
| 11/30/2016 | G & A | 1,996.48 | | 187,235.96 |
| 12/31/2016 | investment return | | 2,889.41 | 190,125.37 |
| 12/31/2016 | G & A | 4,085.85 | | 186,039.52 |
| Total Rhode Island - IEF Undesig Rest | | 36,395.24 | 23,579.24 | 186,039.52 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| Date | Memo | Debit | Credit | Balance |
|--|-----------------------------------|------------------|------------------|-------------------|
| South Carolina - IEF Undesig Re | | | | 186,465.41 |
| 01/31/2016 | investment return | 4,752.89 | | 181,712.52 |
| 01/31/2016 | G & A | 2,133.79 | | 179,578.73 |
| 02/12/2016 | Program Expense - Seminars Grant | 6,000.00 | | 173,578.73 |
| 02/12/2016 | Reverse Designated Seminars Grant | | 6,000.00 | 179,578.73 |
| 02/28/2016 | investment return | | 246.73 | 179,825.46 |
| 02/28/2016 | G & A | 1,712.21 | | 178,113.25 |
| 03/31/2016 | investment return | | 8,307.24 | 186,420.49 |
| 03/31/2016 | G & A | 1,866.92 | | 184,553.57 |
| 04/30/2016 | investment return | | 900.44 | 185,454.01 |
| 04/30/2016 | G & A | 2,450.93 | | 183,003.08 |
| 05/31/2016 | investment return | | 1,445.17 | 184,448.25 |
| 05/31/2016 | G & A | 2,056.95 | | 182,391.30 |
| 06/30/2016 | investment return | | 1,907.68 | 184,298.98 |
| 06/30/2016 | G & A | 1,854.18 | | 182,444.80 |
| 07/31/2016 | investment return | | 4,684.14 | 187,128.94 |
| 07/31/2016 | G & A | 1,890.54 | | 185,238.40 |
| 08/31/2016 | investment return | 245.84 | | 184,992.56 |
| 08/31/2016 | G & A | 1,777.66 | | 183,214.90 |
| 09/30/2016 | investment return | | 251.71 | 183,466.61 |
| 09/30/2016 | G & A | 1,977.28 | | 181,489.33 |
| 10/31/2016 | investment return | 3,657.40 | | 177,831.93 |
| 10/31/2016 | G & A | 2,047.63 | | 175,784.30 |
| 11/30/2016 | investment return | | 1,657.62 | 177,441.92 |
| 11/30/2016 | G & A | 1,872.08 | | 175,569.84 |
| 12/31/2016 | Release from designation | | 2,968.00 | 178,537.84 |
| 12/31/2016 | investment return | | 2,709.38 | 181,247.22 |
| 12/31/2016 | G & A | 3,831.27 | | 177,415.95 |
| Total South Carolina - IEF Undesig Re | | 40,127.57 | 31,078.11 | 177,415.95 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| Date | Memo | Debit | Credit | Balance |
|---|--------------------------|--------------|-----------------|-----------------|
| South Dakota - IEF Undes Restr | | | | 416.73 |
| 01/31/2016 | investment return | 10.62 | | 406.11 |
| 01/31/2016 | G & A | 4.77 | | 401.34 |
| 02/28/2016 | investment return | | 0.55 | 401.89 |
| 02/28/2016 | G & A | 3.83 | | 398.06 |
| 03/31/2016 | investment return | | 18.57 | 416.63 |
| 03/31/2016 | G & A | 4.17 | | 412.46 |
| 04/30/2016 | investment return | | 2.01 | 414.47 |
| 04/30/2016 | G & A | 5.48 | | 408.99 |
| 05/31/2016 | investment return | | 3.23 | 412.22 |
| 05/31/2016 | G & A | 4.60 | | 407.62 |
| 06/30/2016 | investment return | | 4.26 | 411.88 |
| 06/30/2016 | G & A | 4.14 | | 407.74 |
| 07/31/2016 | investment return | | 10.47 | 418.21 |
| 07/31/2016 | G & A | 4.23 | | 413.98 |
| 08/31/2016 | investment return | 0.55 | | 413.43 |
| 08/31/2016 | G & A | 3.97 | | 409.46 |
| 09/30/2016 | investment return | | 0.56 | 410.02 |
| 09/30/2016 | G & A | 4.42 | | 405.60 |
| 10/31/2016 | investment return | 8.17 | | 397.43 |
| 10/31/2016 | G & A | 4.58 | | 392.85 |
| 11/30/2016 | investment return | | 3.70 | 396.55 |
| 11/30/2016 | G & A | 4.18 | | 392.37 |
| 12/31/2016 | Release from designation | | 3,700.00 | 4,092.37 |
| 12/31/2016 | investment return | | 6.06 | 4,098.43 |
| 12/31/2016 | G & A | 8.56 | | 4,089.87 |
| Total South Dakota - IEF Undes Restr | | 76.27 | 3,749.41 | 4,089.87 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| Date | Memo | Debit | Credit | Balance |
|--|-------------------|-----------------|-----------------|------------------|
| Tennessee - IEF Undesig Restr | | | | 14,858.13 |
| 01/31/2016 | investment return | 378.72 | | 14,479.41 |
| 01/31/2016 | G & A | 170.03 | | 14,309.38 |
| 02/28/2016 | investment return | | 19.66 | 14,329.04 |
| 02/28/2016 | G & A | 136.43 | | 14,192.61 |
| 03/31/2016 | investment return | | 661.95 | 14,854.56 |
| 03/31/2016 | G & A | 148.76 | | 14,705.80 |
| 04/30/2016 | investment return | | 71.75 | 14,777.55 |
| 04/30/2016 | G & A | 195.30 | | 14,582.25 |
| 05/31/2016 | investment return | | 115.16 | 14,697.41 |
| 05/31/2016 | G & A | 163.90 | | 14,533.51 |
| 06/30/2016 | investment return | | 152.01 | 14,685.52 |
| 06/30/2016 | G & A | 147.75 | | 14,537.77 |
| 07/31/2016 | investment return | | 373.25 | 14,911.02 |
| 07/31/2016 | G & A | 150.64 | | 14,760.38 |
| 08/31/2016 | investment return | 19.59 | | 14,740.79 |
| 08/31/2016 | G & A | 141.65 | | 14,599.14 |
| 09/30/2016 | investment return | | 20.06 | 14,619.20 |
| 09/30/2016 | G & A | 157.56 | | 14,461.64 |
| 10/31/2016 | investment return | 291.43 | | 14,170.21 |
| 10/31/2016 | G & A | 163.16 | | 14,007.05 |
| 11/30/2016 | investment return | | 132.08 | 14,139.13 |
| 11/30/2016 | G & A | 149.17 | | 13,989.96 |
| 12/31/2016 | investment return | | 215.89 | 14,205.85 |
| 12/31/2016 | G & A | 305.29 | | 13,900.56 |
| Total Tennessee - IEF Undesig Restr | | 2,719.38 | 1,761.81 | 13,900.56 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|--|-------------------|------------------|------------------|-------------------|
| Texas - IEF Undesig Restr | | | | 449,644.34 |
| 01/31/2016 | investment return | 11,461.15 | | 438,183.19 |
| 01/31/2016 | G & A | 5,145.44 | | 433,037.75 |
| 02/28/2016 | investment return | | 594.96 | 433,632.71 |
| 02/28/2016 | G & A | 4,128.83 | | 429,503.88 |
| 03/31/2016 | investment return | | 20,032.15 | 449,536.03 |
| 03/31/2016 | G & A | 4,501.92 | | 445,034.11 |
| 04/30/2016 | investment return | | 2,171.33 | 447,205.44 |
| 04/30/2016 | G & A | 5,910.19 | | 441,295.25 |
| 05/31/2016 | investment return | | 3,484.90 | 444,780.15 |
| 05/31/2016 | G & A | 4,960.15 | | 439,820.00 |
| 06/30/2016 | investment return | | 4,600.20 | 444,420.20 |
| 06/30/2016 | G & A | 4,471.19 | | 439,949.01 |
| 07/31/2016 | investment return | | 11,295.39 | 451,244.40 |
| 07/31/2016 | G & A | 4,558.87 | | 446,685.53 |
| 08/31/2016 | investment return | 592.81 | | 446,092.72 |
| 08/31/2016 | G & A | 4,286.66 | | 441,806.06 |
| 09/30/2016 | investment return | | 606.96 | 442,413.02 |
| 09/30/2016 | G & A | 4,768.03 | | 437,644.99 |
| 10/31/2016 | investment return | 8,819.48 | | 428,825.51 |
| 10/31/2016 | G & A | 4,937.67 | | 423,887.84 |
| 11/30/2016 | investment return | | 3,997.20 | 427,885.04 |
| 11/30/2016 | G & A | 4,514.35 | | 423,370.69 |
| 12/31/2016 | investment return | | 6,533.43 | 429,904.12 |
| 12/31/2016 | G & A | 9,238.77 | | 420,665.35 |
| Total Texas - IEF Undesig Restr | | 82,295.51 | 53,316.52 | 420,665.35 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|---------------------------------------|-------------------|------------------|------------------|-------------------|
| Utah - IEF Undesig Restr | | | | 122,246.82 |
| 01/31/2016 | investment return | 3,115.99 | | 119,130.83 |
| 01/31/2016 | G & A | 1,398.91 | | 117,731.92 |
| 02/28/2016 | investment return | | 161.75 | 117,893.67 |
| 02/28/2016 | G & A | 1,122.52 | | 116,771.15 |
| 03/31/2016 | investment return | | 5,446.23 | 122,217.38 |
| 03/31/2016 | G & A | 1,223.96 | | 120,993.42 |
| 04/30/2016 | investment return | | 590.33 | 121,583.75 |
| 04/30/2016 | G & A | 1,606.82 | | 119,976.93 |
| 05/31/2016 | investment return | | 947.45 | 120,924.38 |
| 05/31/2016 | G & A | 1,348.54 | | 119,575.84 |
| 06/30/2016 | investment return | | 1,250.68 | 120,826.52 |
| 06/30/2016 | G & A | 1,215.60 | | 119,610.92 |
| 07/31/2016 | investment return | | 3,070.93 | 122,681.85 |
| 07/31/2016 | G & A | 1,239.44 | | 121,442.41 |
| 08/31/2016 | investment return | 161.18 | | 121,281.23 |
| 08/31/2016 | G & A | 1,165.43 | | 120,115.80 |
| 09/30/2016 | investment return | | 165.02 | 120,280.82 |
| 09/30/2016 | G & A | 1,296.30 | | 118,984.52 |
| 10/31/2016 | investment return | 2,397.79 | | 116,586.73 |
| 10/31/2016 | G & A | 1,342.43 | | 115,244.30 |
| 11/30/2016 | investment return | | 1,086.74 | 116,331.04 |
| 11/30/2016 | G & A | 1,227.34 | | 115,103.70 |
| 12/31/2016 | investment return | | 1,776.27 | 116,879.97 |
| 12/31/2016 | G & A | 2,511.78 | | 114,368.19 |
| Total Utah - IEF Undesig Restr | | 22,374.03 | 14,495.40 | 114,368.19 |

Investor Protection Trust
State Ledgers - IEF Undesignated
As of December 31, 2016

| <u>Date</u> | <u>Memo</u> | <u>Debit</u> | <u>Credit</u> | <u>Balance</u> |
|--|-------------------|------------------|------------------|-------------------|
| Wyoming - IEF Undesig Restr | | | | 123,011.18 |
| 01/31/2016 | investment return | 3,135.49 | | 119,875.69 |
| 01/31/2016 | G & A | 1,407.67 | | 118,468.02 |
| 02/28/2016 | investment return | | 162.78 | 118,630.80 |
| 02/28/2016 | G & A | 1,129.53 | | 117,501.27 |
| 03/31/2016 | investment return | | 5,480.27 | 122,981.54 |
| 03/31/2016 | G & A | 1,231.62 | | 121,749.92 |
| 04/30/2016 | investment return | | 594.02 | 122,343.94 |
| 04/30/2016 | G & A | 1,616.88 | | 120,727.06 |
| 05/31/2016 | investment return | | 953.38 | 121,680.44 |
| 05/31/2016 | G & A | 1,356.97 | | 120,323.47 |
| 06/30/2016 | investment return | | 1,258.50 | 121,581.97 |
| 06/30/2016 | G & A | 1,223.19 | | 120,358.78 |
| 07/31/2016 | investment return | | 3,090.13 | 123,448.91 |
| 07/31/2016 | G & A | 1,247.19 | | 122,201.72 |
| 08/31/2016 | investment return | 162.18 | | 122,039.54 |
| 08/31/2016 | G & A | 1,172.72 | | 120,866.82 |
| 09/30/2016 | investment return | | 166.05 | 121,032.87 |
| 09/30/2016 | G & A | 1,304.41 | | 119,728.46 |
| 10/31/2016 | investment return | 2,412.79 | | 117,315.67 |
| 10/31/2016 | G & A | 1,350.82 | | 115,964.85 |
| 11/30/2016 | investment return | | 1,093.53 | 117,058.38 |
| 11/30/2016 | G & A | 1,235.01 | | 115,823.37 |
| 12/31/2016 | investment return | | 1,787.38 | 117,610.75 |
| 12/31/2016 | G & A | 2,527.50 | | 115,083.25 |
| Total Wyoming - IEF Undesig Restr | | 22,513.97 | 14,586.04 | 115,083.25 |